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UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/039,462

12/28/2001

Hyung-Suk Kim

42390P11941

CONFIRMATION NO. 1046

08791 BLAKELY SOKOLOFF TAYLOR & ZAFMAN 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025

FORMALITIES LETTER

Date Mailed: 02/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/13/2002 SZEWDIE1 00000100 10039462

01 FC:105

130.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Applipation of:

HYUNG-SUK KIM, ET AL.

Application No.: 10/039,462

Filed: December 28, 2001

For: METHOD AND APPARATUS FOR VIDEO BIT-RATE

CONTROL

Assistant Commissioner for Patents Attention: Box Missing Parts Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts mailed February 5, 2002, please find enclosed:

- a duly executed Declaration and Power of Attorney and payment in the amount of \$130.00 for the surcharge of 37 CFR § 1.16(e);

and

- copy of the Notice to file Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Gregory D. Caldwell, Reg. No. 39,926

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: <u>February 27, 2002</u>.

Washington, D.C. 20231 on: <u>February 27, 2</u>

02/27/02

Date

Dated:

February 27, 2002_

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

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FEE TR	AN	SMIT	TAL
"Man Tor	FY	2002)

Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Signature

Complete if Known		
Application Number	10/039,462	-
Filing Date	December 28, 2001	
First Named Inventor	Hyung-Suk Kim	
Examiner Name	Not Assigned	
Group/Art Unit	Not Assigned	
Attorney Docket No.	42390P11941	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
Check Credit card Money Other None Deposit Account		3. ADDITIONAL FEES					
		Large Entity Small Entity					
	Fee	Fee	Fee	Fee	-		
Deposit Account 02-2666	Code 105	(\$)	Code	(\$)	Fee Description	Fee Paid	
Number		130 50	205 227	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or	130.00	
Deposit Account Blakely, Sokoloff, Taylor & Zafman LLP		30	221	25	cover sheet.		
Name Diakery, Sokolott, Taylor & Zamilan EE	139 147	130	139	130	Non-English specification		
The Commissioner is authorized to: (check all that apply)		2,520	147	2,520	For filing a request for ex parte reexamination		
Charge fee(s) indicated below Credit any overpayments		920 *	112	920	* Requesting publication of SIR prior to Examiner action		
Charge any additional fee(s) during the pendency of the application		1,840*	113	1,840 *			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account		110	215	55	Examiner action Extension for reply within first month		
FEE CALCULATION		400	216	200	Extension for reply within second month		
1. BASIC FILING FEE	116 117	920	217	460	Extension for reply within third month		
Large Entity Small Entity	118	1,440	218	720	Extension for reply within fourth month		
Fee Fee Fee Fee Description Fee Paid	128	1,960	228	980	Extension for reply within fifth month		
Code (\$) Code (\$)	119	320	219	160	Notice of Appeal		
101 740 201 370 Utility filing fee	120	320	220	160	Filing a brief in support of an appeal		
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing		
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable		
	141	1,280	241	640	Petition to revive - unintentional		
SUBTOTAL (1) (\$)	142	1,280	242	640	Utility issue fee (or reissue)		
2. EXTRA CLAIM FEES _{Extra} Fee from	143	460	243	230	Design issue fee		
Claims below Fee Paid	144	620	244	310	Plant issue fee		
Total Claims 23 . ** = X	122	130	122	130	Petitions to the Commissioner		
Independent X = X	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Multiple Dependent =	126	180	126	180	Submission of Information Disclosure Stmt		
Large Entity Small Entity	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Fee Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	146	740	246	370	Filing a submission after final rejection		
103 18 203 9 Claims in excess of 20	l				(37 CFR § 1.129(a))		
102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
104 280 204 140 Multiple Dependent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)		
109 84 209 42 **Reissue independent claims over original patent	169	900	169	900	Request for expedited examination		
110 18 210 9 **Reissue claims in excess of 20 and over original patent		e (specify)			of a design application		
SUBTOTAL (2) (\$)		* Reduced by Basic Filing Fee Paid			CUDTOTAL (2)		
or number previously paid, if greater, For Reissues, see below		reduced by basic hang hee hald			SUBTOTAL (3)	130.00	
SORWILLED BA					Complete (if applicab	le)	
Name (Print/Type) Gregory D. Caldyel		egistratio torney/Age		3	39,926 Telephone (503) 684	-6200	
Signature	1				Date 02/27/	/02	

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